

Cash Account Number
現金帳戶號碼

Name of Client
客戶名稱

NEW REGION SECURITIES COMPANY LIMITED

恒盛證券有限公司

Licensed Corporation under the Securities and Futures Ordinance (CE No. ABX677) and
Exchange Participant of The Stock Exchange of Hong Kong Limited

《證券及期貨條例》下的持牌法團（中央編號 ABX 677）及香港聯合交易所有限公司的交易所參與者

Cash Account – Fee Schedule and Client Acknowledgement
現金帳戶客戶 - 費用表及客戶確認書

FEE SCHEDULE 費用表

This Fee Schedule is effective from 1 December 2022. NRSC reserves the right to revise any of the fees from time to time.

此費用表的生效日期為 2022 年 12 月 1 日。恒盛保留權利隨時修改任何收費。

A. Hong Kong Stocks and Warrants 香港證券及認股證服務收費

Items 收費項目	Rate per Trade 每筆交易費率	Minimum 最低收費
Cash or Margin Account 現金 / 孖展帳戶	0.25%	HKD 75
Electronic Trading 經電子系統交易	0.25%	HKD 75
SFC Transaction Levy 證監會交易徵費	0.0027%	-
HKEX Trading Fee 香港交易及結算所交易費	0.005%	-
CCASS Settlement Fee 中央結算股份交收費	0.003% (Max HKD 100 最高 HKD 100)	HKD 2
Stamp Duty 印花稅	0.13%	HKD 1
Financial Reporting Council ("FRC") Transaction Levy 財務匯報局 (「財匯局」) 證券交易徵費	0.00015%	-
Bond 債券	2% of Consideration, 交易金額的 2%	HKD 100
Bond Custody fee 債券託管費	0.20% p.a.	HKD 10
OTC Investment Product 場外交易投資產品	2% of Consideration, 交易金額的 2%	HKD 100
Unit Trust subscription fee 基金認購費	Up to 2%	-

B. CCASS Depository Services 中央結算服務收費

Items 收費項目	Fee 收費
Physical Stock Deposit 實貨存入	Free 免費
Physical Stock Withdrawal 實貨提取	HKD 5 per lot and additional handling fee HKD 20 per stock (Min HKD 50) 每手 HKD 5 另加每隻股票手續費 HKD 20 (最低收費 HKD 50)
Stock Deposit Fee (via CCASS) 股票存入費用 (經中央結算)	Free 免費
Stock Withdrawal Fee (via CCASS) 股票提取費用 (經中央結算)	HKD 5 per lot and additional handling fee HKD 50 per stock 每手 HKD 5 另加每隻股票手續費 HKD 50

Transfer Deed 轉手紙釐印費	HKD 5 for each transfer deed 每張轉手紙 HKD 5
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C. Nominee Services and Corporate Actions 代理人服務及企業行動

Items 收費項目	Fee 收費
Cash / Scrip Dividend Collection Fee 現金股息 / 以股代息代收股息費	Initial name transfer per lot HKD 3 and additional handling fee of HKD 20 初次轉名費每手 HKD 3, 另加手續費 HKD 20
Bonus Share Collection Fee 紅股代收股息費	Handling Fee HKD20 手續費 20 港元
Dividend Claim / Bonus Shares 代追股息 / 紅股	1 % on gross offer amount (Min HKD 2,000) 代追金額 1% (最低 HKD 2,000)
供股 Right Issue	HKD 3 per lot and additional handling fee of HKD 10 每手 HKD 3 另加手續費 HKD 10
託管費 Custody Fee	另議 Negotiable

D. Financing Fee 借貸費用

Type of Account 帳戶種類	Interest Rate 利率
Cash Account – late settlement interest 現金帳戶 - 逾期交收利息	BOCHK Prime Rate* plus 9% per annum 中銀香港最優惠利率加年利率 9%
Margin Account 孖展帳戶	BOCHK Prime Rate* 中銀香港最優惠利率*

* BOCHK prime rate means the HKD prime rate published by Bank of China (Hong Kong) Limited from time to time (for the prevailing rate, please visit: <https://www.bochk.com/tc/investment/rates/hkprime.html>).

* 中銀香港最優惠利率指中國銀行（香港）有限公司不時公報的港元最優惠利率（關於最近的利率，請瀏覽 <https://www.bochk.com/tc/investment/rates/hkprime.html>）。

E. 其他費用 Other Charges

Items 收費項目	Fee 收費
Returned Cheque Charge 退票	HKD 100 per case 每次 HKD 100
Stop Payment Charge 終止支票付款費	HKD 300 per case 每次 HKD 300

Reissue Statement Copy 重發結單複本	HKD 30 per month 每月 HKD30
Stock Quotes Services 股票報價服務	From HKD 340 per month 每月 HKD 340 起

CLIENT ACKNOWLEDGEMENT 客戶聲明

I / we acknowledge and confirm as follows:

本人/吾等聲明及確認如下:

1. I / We have read and understood the terms and conditions contained in the the Cash Account Agreement and agree to be bound by those terms and conditions.
本人/吾等已閱讀及明白《現金帳戶協議》所載內條款及細則，並同意受該等條款及細則約束。
2. NRSC has provided to me / us the Risk Disclosure Statement in a language of my / our choice (English or Chinese); and I / we have been invited to read the Risk Disclosure Statement, to ask questions and to take independent advice (if I / we so wish).
恒盛已按照本人/吾等選擇的語言（英文或中文）向本人/吾等提供《風險披露聲明書》；而本人/吾等已獲邀請閱讀《風險披露聲明書》，提出問題及徵詢獨立意見（如本人/吾等有此意願的話）。
3. I / We have read and understood the Personal Data Policy and agree to be bound by it.
本人/吾等已閱讀及明白《個人資料政策》，並同意受其約束。
4. I / We have read and understood the Fee Schedule and agree to pay fees and other charges to NRSC at the rates specified in it.
本人/吾等已閱讀及明白《費用表》，並同意按照該文件所規定的收費標準向恒盛支付費用及其他收費。

Signature of Client 客戶簽署: _____

Name of Client 客戶姓名: _____

Date 日期: _____

ID Number 身份證明文件編號: _____

For Official Use Only 僅供職員使用

I declare that I have provided the Cash Account Agreement, the Risk Disclosure Statement, the Personal Data Policy and the Fee Schedule to the Client in a language of his / her / its choice (English or Chinese) and have invited the Client to read each of the foregoing documents carefully, to ask questions and to take independent advice (if the Client so wishes).

本人聲明本人已按照客戶選擇的語言（英語或中文）向客戶提供《現金帳戶協議》、《風險披露聲明書》、《個人資料政策》及《費用表》，並已要求客戶細心閱讀前述每一份文件，提出問題及徵詢獨立意見（如客戶有此意願的話）。

Signature of Licensed Person 持牌人簽署: _____

Name of Licensed Person 持牌人姓名: _____

Date 日期: _____

CE Number 中央編號: _____

Approved by the following Responsible Officer(s) on behalf of NRSC:

由以下負責人員代表恒盛批核:

Signature(s) 簽名: _____

Name(s) 姓名: _____

Date 日期: _____

CE Number(s) 中央編號: _____